

04/26/01
JC961 U.S. PTO

04-27-01

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Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 3838-33689
First Inventor Rogelio Delgado, Jr.
Title LOBE CONTROL FOR AN ACOUSTIC HORN
Express Mail Label No. EL657041445US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit original and duplicate for fee processing)
2. ☒ Applicant claim small entity status.
See 37 CFR 1.27.
3. ☒ Specification (preferred arrangement set forth below) Total Pages 15
 - Descriptive title of the invention
 - Cross reference to related applications
 - Statement regarding Fed sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief summary of the invention
 - Brief description of the drawings (if filed)
 - Detailed description
 - Claim(s)
 - Abstract of the disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
5. Oath or Declaration [Total Pages 2]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ OM or CD - Rinduplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ OM or CD - R (2 copies); or
 - ii. ☐ per
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (coversheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 60,200,197

Prior application information: Examiner: _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

Name		Daniel L. Boots, Esq.			
Address		BINGHAM SUMMERS WELSH & SPIITMAN LLP			
City		Indianapolis		State	IN
Country		United States		Telephone	317-635-8900
				Fax	317-236-9907

Name (Print/Type)	Daniel L. Boots	Registration No. (Attorney/Agent)	33,159
Signature		Date	4-26-01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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04/26/01

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FEETRANSMITTAL for FY2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 561.00

Complete if Known

Application Number	Unknown
Filing Date	Herewith
First Named Inventor	Rogelio Delgado, Jr.
Examiner Name	Unknown
Group/Art Unit	Unknown
Attorney Docket No.	3838-33689

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:
- Deposit Account Number: 50-0410
- Deposit Account Name: BINGHAM SUMMERS WELSH & SPIILMAN
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101710201355		Utility filing fee	\$355
106320206160		Design filing fee	
107490207245		Plant filing fee	
108710208355		Reissue filing fee	
11415021475		Provisional filing fee	
SUBTOTAL(1)			(\$) 355.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
34	-20**= 14	x \$9	= \$126
5	-3**= 2	x \$40	= \$80
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103182039		Claims in excess of 20
1028020240		Independent claims in excess of 3
104270204135		Multiple dependent claim, if not paid
1098020940		**Reissue independent claims over original patent
110182109		**Reissue claims in excess of 20 and over original patent

SUBTOTAL(2) (\$) 206.00

**number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
10513020565		Surcharge-late filing fee or oath	
1275022725		Surcharge-late provisional filing fee or coversheet	
139130139130		Non-English specification	
1472,5201472,520		For filing a request for ex parte reexamination	
112920*112920*		Requesting publication of SI prior to Examiner action	
1131,840*1131,840*		Requesting publication of SI after Examiner action	
11511021555		Extension for reply within first month	
116390216195		Extension for reply within second month	
117890217445		Extension for reply within third month	
1181,390218695		Extension for reply within fourth month	
1281,890228945		Extension for reply within fifth month	
119310219155		Notice of Appeal	
120310220155		Filing brief in support of an appeal	
121270221135		Request for oral hearing	
1381,5101381,510		Petition to institute a public use proceeding	
14011024055		Petition to revive-unavoidable	
1411,240241620		Petition to revive-unintentional	
1421,240242620		Utility issue fee (or reissue)	
143440243220		Design issue fee	
144600244300		Plant issue fee	
122130122130		Petition to the Commissioner	
1235012350		Processing fee under 37 CFR 1.17(q)	
126180126180		Submission of Information Disclosure Stmt	
5814058140		Recording each patent assignment per property (times number of properties)	
146710246355		Filing a submission after final rejection (37 CFR § 1.129(a))	
149710249355		For each additional invention to be examined (37 CFR § 1.129(b))	
179710279355		Request for Continued Examination (RCE)	
169900169900		Request for expedited examination of a design application	
Other fee (specify) _____			

*Reduced by Basic Filing Fee Paid

SUBTOTAL(3) (\$) 0.00

SUBMITTED BY

Name (Print/Type)	Daniel L. Boots	Registration No. (Attorney/Agent)	33,159	Telephone	317-635-8900
Signature		Date	4-26-01		

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"Express Mail" mailing label number EL657041445US
Date of Deposit April 26, 2001, I certify that
these papers are being deposited with the United States
Postal Service "Express Mail Post Office to Address"
service under 37 CFR 1.10(a) on the date indicated
below and is addressed to Assistant Commissioner for
Patents, Box Patent Application, Washington, D.C. 20231



Lisa D. Harden

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